

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x		
<b>In re</b>	:	<b>Chapter 11 Case No.</b>
	:	
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:	<b>08-13555 (JMP)</b>
	:	<b>(Jointly Administered)</b>
<b>Debtors.</b>	:	
-----x		
		<b>Ref. Docket Nos. 39290 &amp; 39295</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 12, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
19<sup>th</sup> day of August, 2013

/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: SERENGETI OPPORTUNITIES MM L.P.  
TRANSFEROR: BARCLAYS BANK PLC  
C/O SERENGETI ASSET MANAGEMENT LP  
ATTN: ERIN FINEGAN  
632 BROADWAY, 12TH FLOOR  
NEW YORK NY 10012

Additional:

Transferee: BARCLAYS BANK PLC  
ATTN: DANIEL MIRANDA  
ATTN: ANTHONY VITIELLO  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

Your transfer of claim # 51636-01 is defective for the reason(s) checked below:

Other ☐ Transfer Amount Exceeds Amount Currently Owned

Docket Number 39290

Date 08/08/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 12, 2013.

**EXHIBIT B**

TIME: 13:03:33  
DATE: 08/12/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name

BARCLAYS BANK PLC  
SERENGETI OPPORTUNITIES MM L.P.

Address

ATTN: DANIEL MIRANDA ATTN: ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: BARCLAYS BANK PLC C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012

Total Number of Records Printed

2

08-13555-mg

Doc 39540

Filed 08/19/13

Entered 08/19/13 19:05:53

Main Document

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EPIQ BANKRUPTCY SOLUTIONS, LLC

BARCLAYS BANK PLC  
ATTN: DANIEL MIRANDA  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK, NY 10019

SERENGETI LYCAON MM L.P.  
C/O SERENGETI ASSET MANAGEMENT LP  
ATTN: ERIN FINEGAN  
632 BROADWAY, 12TH FLOOR  
NEW YORK, NY 10012